

**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**ANTIBODIES TO LIPOPROTEINS AND APOLIPOPROTEINS  
AND METHODS OF USE THEREOF**

the specification of which (check one)

\_\_\_\_\_ is attached hereto

  X   was filed on June 30, 1994

as application Serial No. \_\_\_\_\_

\_\_\_\_\_ and was amended on: \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
Status  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
Status  
(patented, pending, abandoned)

"Antibodies to Lipoproteins and Apolipoproteins  
and Methods of Use Thereof"

By: Eugen Koren, et al.

Filed: June 30, 1994

DECLARATION

Express Mail No. TB36057691X

As named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John S. Pratt	29,476
James L. Ewing, IV	30,630
Patrea L. Pabst	31,284
Jamie L. Greene	32,467
Cheryl K. Zalesky	33,052
Dean W. Russell	33,452
Craig R. Kaufman	34,636
Claudia R. Adkison	36,979
Charles T. Simmons	35,359
David S. Bradin	P37,783

Send Correspondence to: Patrea L. Pabst, Esq.  
Kilpatrick & Cody  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309-4530

Direct telephone calls to: Patrea L. Pabst (404)815-6508, or  
John S. Pratt (404)815-6367

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Eugen Koren

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 10026 Hefner Village Terrace, Oklahoma City, Oklahoma 73162

Citizenship United States

Post Office Address Same as above

Full name of second joint inventor (if any) Mirna Koscec

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence 12418 Trail Oak Drive, Apartment D, Oklahoma City, Oklahoma 73120

Citizenship Croatia

Post Office Address Same as above

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"Antibodies to Lipoproteins and Apolipoproteins and Methods of Use Thereof"

the specification of which (check one)

\_\_\_\_\_ is attached hereto

  x   was filed on June 30, 1994  
as application Serial No. 08/268,809

\_\_\_\_\_ and was amended on: \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

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Prior Foreign Application(s)			Priority Claimed
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____
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_____ (Application Serial No.)	_____ (Filing Date)	_____ Status (patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ Status (patented, pending, abandoned)

"Antibodies to Lipoproteins and Apolipoproteins  
and Methods of Use Thereof"

Filed: June 30, 1994

By: Eugen Koren and Mirna Koscec

DECLARATION FOR PATENT APPLICATION

As named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

John S. Pratt	29,476
James L. Ewing, IV	30,630
Patrea L. Pabst	31,284
Cheryl K. Zalesky	33,052
Dean W. Russell	33,452
Jamie L. Greene	32,467
Claudia R. Adkison	36,979
David S. Bradin	37,783
Craig R. Kaufman	34,636
Felipe J. Farley	P-38,445

Send Correspondence to: Patrea L. Pabst, Esq.  
Kilpatrick & Cody  
1100 Peachtree Street, Suite 2800  
Atlanta, Georgia 30309-4530

Direct telephone calls to: Patrea L. Pabst (404) 815-6508

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Full name of sole or first inventor Eugen Koren

Inventor's signature Eugen Koren Date 8/15/94

Residence 10026 Hefner Village Terrace, Oklahoma City, Oklahoma 73162

Citizenship U.S.A.

Post Office Address Same as above

Full name of second joint inventor (if any) Mirna Koscec

Inventor's signature Mirna Koscec Date 8/15/94

Residence 12418 Trail Oak Drive, Apartment D, Oklahoma City, Oklahoma 73120

Citizenship Croatia

Post Office Address Same as above

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Eugen Koren and Mirna Koscec

**Serial No.:** Continuation of      **Group Art Unit:** Not assigned  
U.S.S.N. 08/268,809

**Filed:** November 13, 1997      **Examiner:** Not assigned

**For:** ANTIBODIES TO LIPOPROTEINS AND APOLIPOPROTEINS AND METHODS  
OF USE THEREOF

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ASSOCIATE POWER OF ATTORNEY UNDER 37 C.F.R. § 1.34**

Sir:

Please recognize as Associate Patent Attorneys and Patent Agent in this case:


David S. Bradin	Reg. No. 37,783
Robert A. Hodges	Reg. No. 41,074
Collen A. Beard	Reg. No. 38,824
Suzanne F. Seavello	Reg. No. 37,933

Please continue to direct all communication to Patrea Pabst at the following address:

**Patrea L. Pabst**  
**ARNALL GOLDEN & GREGORY, LLP**  
**2800 One Atlantic Center**  
**1201 West Peachtree Street**  
**Atlanta, Georgia 30309-3450**  
**(404) 873-8794; 873-8795 (fax)**

**Date:** November 13, 1997

**ARNALL GOLDEN & GREGORY, LLP**  
**2800 One Atlantic Center**  
**1201 West Peachtree Street**  
**Atlanta, Georgia 30309-3450**  
**(404) 873-8794**  
**(404) 873-8595 (fax)**

  
Patrea L. Pabst, Reg. No. 31,284  
Attorney of Record

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eugen Koren and Mirna Koscec

Serial No: 08/268,809

Art Unit: 1809

Filed: June 30, 1994

Examiner: NOT YET ASSIGNED

For: ANTIBODIES TO LIPOPROTEINS AND APOLIPOPROTEINS AND  
METHODS OF USE THEREOF

Assistant Commissioner for Patents  
Washington, D. C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST  
AND REVOCATION OF PRIOR POWERS

Sir:

As owner of the entire interest of the above-identified patent application, all powers of attorney previously given are hereby revoked and the following attorneys are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst  
Madeline I. Johnston  
Dolly A. Vance

Registration No. 31,284  
Registration No. 36,174  
Registration No. 39,054

Please send all correspondence relating to the above patent application to

Patrea L. Pabst  
ARNALL GOLDEN & GREGORY  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450

Please direct all telephone calls to:

Patrea L. Pabst (404) 873-8794

U.S.S.N. 08/268,809

Filed: June 30, 1994

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE  
INTEREST AND REVOCATION OF PRIOR POWERS

The undersigned signatory, W.G. THURNIAN, states that he/she is empowered to act on behalf of Oklahoma Medical Research Foundation, that he/she has reviewed the evidentiary documents establishing ownership of the above-identified application by Oklahoma Medical Research Foundation, and certifies that, to the best of his/her knowledge and belief, title is in Oklahoma Medical Research Foundation.

Oklahoma Medical Research Foundation

By: William G. Thurnian

Title: PRESIDENT

Date: 7/11/95

20487113

CERTIFICATE UNDER 37 CFR 3.73(b)

70595 U.S. PTO  
08/970045  
11/13/97

Applicant: Eugen Koren and Mirna Koscec

Application No.: 08/268,809 Filed: June 30, 1994

For: Antibodies to Lipoproteins and Apolipoproteins and Methods of Use Thereof

Oklahoma Medical  
Research Foundation, a, corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_ Frame \_\_\_\_\_. Original Assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and believe are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: August 15, 1994

Name: William G. Thurman

Title: President

Signature: William G. Thurman, M.D.



70595 U.S. PTO  
08/970045  
11/13/97

Applicants: Eugen Koren and Mirna Koscec

Application No.: 08/268,809

Filed: June 30, 1994

For: ANTIBODIES TO LIPOPROTEINS AND APOLIPOPROTEINS AND METHODS OF USE THEREOF

Oklahoma Medical Research Foundation

(Name of Assignee)

a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor of the patent application identified above.  
The assignment was recorded in the Patent and Trademark Office at Reel 7202, Frame 865, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From:  
To:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
2. From:  
To:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.
3. From: To:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplement sheet.

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Date : 9/11/95

Name : William G. Thurman, M.D.

Title : President

Signature : William G. Thurman M.D.

### ASSIGNMENT

We, Eugen Koren of 10026 Hefner Village Terrace, Oklahoma City, Oklahoma 73162 and Mirna Koscec, 12418 Trail Oak Drive, Apartment D, Oklahoma City, Oklahoma 73120, in consideration of Ten Dollars and other valuable consideration paid to us by Oklahoma Medical Research Foundation, a corporation of Oklahoma having its principal place of business at 825 N.E. 13th Street, Oklahoma City, Oklahoma 73104, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Oklahoma Medical Research Foundation, its successors and assigns, the entire interest for the United States of America and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in "Antibodies to Lipoproteins and Apolipoproteins and Methods of Use Thereof" described in U.S. Serial No. 08/268,809 filed on June 30, 1994, by Eugen Koren and Mirna Koscec, in the United States Patent and Trademark Office, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuation, continuation-in-part, renewals, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

"Antibodies to Lipoproteins and  
Apolipoproteins and Methods of Use Thereof"  
By: Eugen Koren and Mirna Koscec  
Filed: June 30, 1994  
ASSIGNMENT

And we do hereby authorize and request the Commissioner  
of Patents and Trademarks of the United States to issue such  
Letters Patent as shall be granted upon said application or  
applications based thereon to said corporation, its successors  
and assigns.

WITNESS my hand and seal this 15th day of August  
1994.

Eugen Koren

Eugen Koren

State of Oklahoma  
County of Oklahoma ) ss.

Then personally appeared the above named Eugen Koren  
and acknowledged the foregoing instrument to be his free act and  
deed, before me, this 15 day of August, 1994.

James M. Leaver  
Notary Public

WITNESS my hand and seal this 15 day of August  
1994.

Mirna Koscec

Mirna Koscec

State of Oklahoma  
County of Oklahoma ) ss.

Then personally appeared the above named Mirna Koscec  
and acknowledged the foregoing instrument to be her free act and  
deed, before me, this 15 day of August, 1994.

James M. Leaver  
Notary Public